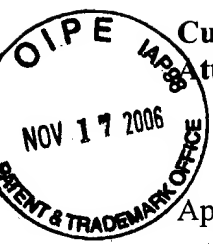


11-20-06

AFD



Customer No. 31013

Atty. Docket No.: 099782/00001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James Gately Squyres

Group Art Unit : 3628

Serial No.: 09/900,724

Examiner: J. Liversedge

Filed: July 6, 2001

Confirmation No.: 7021

For: **METHOD AND SYSTEM FOR COMPARISON AND
EVALUATION OF INVESTMENT PORTFOLIOS**

Mail Stop AF
Commissioner for Patents
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LESLIE K. NGUYEN

(Typed or Printed Name)

(Signature)

11/17/06
(Date of Signature)

AMENDMENT AFTER FINAL REJECTION

Sir:

Applicants hereby submit the following claim amendments in response to the Office Action mailed August 25, 2006, finally rejecting claims 1-42 in the above-referenced patent application. This Amendment is being submitted within the three-month shortened statutory period set for response in the Office Action.

Serial No.: 09/900,724
Atty. Docket No.: 099782/00001

AMENDMENTS

Please amend the application, without prejudice, as follows.

Amendments to the Claims begin on page 3 of this communication.

Remarks begin on page 14 of this communication.